

**TRANSMITTAL  
FORM**

(To be used for all correspondence after initial filing)



Application Number	10/676,273
Filing Date	September 30, 2003
First Named Inventor	Burton T. Levin, et. al.
Group Art Unit	
Examiner Name	
Total Number of Pages in this Submission	Attorney Docket Number KLR:7146.0173

**ENCLOSURES (check all that apply)**

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee transmittal<br><input type="checkbox"/> Fee attached form | <input type="checkbox"/> Assignment Papers (for an application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing Related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Additional Enclosures (identify below) |
|--|--|--|

Form 1449 with listed prior art; a duplicate copy of this Transmittal Letter; and an acknowledgment Postcard,

**Remarks:** *Commissioner is authorized to charge any additional fee, or credit any overpayment, to Deposit Account No. 03-1550. A duplicate copy of this Transmittal Form is enclosed for purposes of use with Deposit Account No. 03-1550 as stated herein.*

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm or Individual Name	Kevin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP
Signature	
Date	November 2 2003

**CERTIFICATE OF MAILING**

I hereby certify that, on the date below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Mail Stop Patent Applications (IDS), Commissioner for Patents, Post Office Box 1450, Alexandria, VA 22313-1450.

- with sufficient postage as first class mail  
 as "Express Mail Post Office to Addressee" - mailing label no. \_\_\_\_\_

Type or print name	Kevin L. Russell		
Signature		Date	November 3 2003



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION EXAMINING OPERATIONS

Applicant : Burton L. Levin, et. al. Group Art Unit:

Serial No. : 110/676,273 Examiner :

Filed : September 30, 2003

Title : TEXT TO SPEECH CONVERSION SYSTEM

INFORMATION DISCLOSURE STATEMENT  
IN ACCORDANCE WITH 37 CFR §1.98

1600 ODS Tower  
601 S.W. Second Avenue  
Portland, Oregon 97204-3157  
November 13, 2003

Mail Stop Patent Applications (IDS)  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant submits herewith Form PTO-1449 (Modified) listing the prior art references of which applicant is aware and which applicant desires to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits of the above-identified application.

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

A list of the patents and publications enclosed herewith are set forth on the attached Form PTO-1449 (Modified).

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,

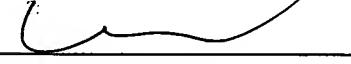


Kevin L. Russell  
Reg. No. 38,292  
Attorney for Applicant  
Tel: (503) 227-5631

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Patent Applications (IDS), Commissioner for Patents, P. O. Box 1450, Alexandria, VA., on November 13, 2003.

Dated: November 13, 2003



---

Kevin L. Russell

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
KLR 7146.0173SERIAL NO.  
10/676,273

APPLICANT

Burton L. Levin, et. al.

FILING DATE  
Sept. 30, 2003

GROUP

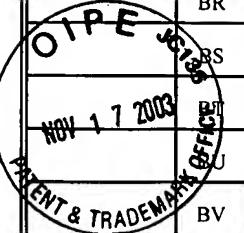
## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,996,707	Feb. 26, 1991	O'Malley, et. al.			
	AB	4,996,760	Mar. 5, 1991	Coleman			
	AC	6,052,663	April 18, 2000	Kurzweil, et. al.			
	AD	6,076,060	June 13, 2000	Lin, et. al.			
	AE	6,377,928 B1	April 23, 2002	Saxena, et. al.			
	AF						

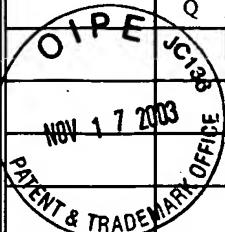
## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE FILED	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	EP 0457830 B1	Jan. 26, 1990	Europe				
	BB	EP 0458859 B1	Feb. 2, 1990	Europe				
	BC	EP 0680652 B1	Jan. 18, 1994	Europe				
	BD	EP 0680654 B1	Jan. 18, 1994	Europe				
	BE	EP 0689706 B1	Jan. 18, 1994	Europe				
	BF	Pub. No. WO 85/04747	Dec. 4, 1984	International PCT				
	BG	Pub. No. WO 90/09657	Feb. 2, 1990	International PCT				
	BH	Pub. No. WO 90/09716	Jan. 26, 1990	International PCT				
	BI	Pub. No. WO 94/17516	Jan. 18, 1994	International PCT				
	BJ	Pub No. WO 94/17517	Jan. 18, 1994	International PCT				
	BK	Pub. No. WO 94/17518	Jan. 21, 1994	International PCT				
	BL	Pub. No. WO 94/23423	Mar. 7, 1994	International PCT				
	BM	Pub. No. WO 95/04988	Aug. 1, 1994	International PCT				
	BN	Pub. No. WO 96/22594	Dec. 19, 1995	International PCT				



	BO	Pub. No. WO 97/07499	Aug. 2, 1996	International PCT				
	BP	Pub. No. WO 97/34292	Feb. 11, 1997	International PCT				
	BQ	Pub. No. WO 98/19297	Oct. 15, 1997	International PCT				
	BR	Pub. No. WO 99/43141	Feb. 16, 1999	International PCT				
	BS	Pub. No. WO 99/43141	Feb. 16, 1999	International PCT				
	BT	Pub. No. WO 99/66496	June 14, 1999	International PCT				
	BU	Pub. No. WO 99/65256	June 10, 1999	International PCT				
	BV	Pub. No. WO 99/65256	June 10, 1999	International PCT				
	BW	Pub. No. WO 00/08832	July 26, 1999	International PCT				
	BX	Pub. No. WO 00/10101	Aug. 10, 1999	International PCT				
	BY	Pub. No. WO 00/19412	Sept. 28, 1999	International PCT				
	BZ	Pub. No. WO 00/23985	Oct. 5, 1999	International PCT				
	BB A	Pub. No. WO 00/45373	Jan. 4, 2000	International PCT				
	BB B	Pub. No. WO 00/51316	Feb. 25, 2000	International PCT				
	BB C	Pub. No. WO 01/06489 A1	April 20, 2000	International PCT				
	BB D	Pub. No. WO 01/04799 A1	July 7, 2000	International PCT				
	BB E	Pub. No. WO 01/59976 A2	Feb. 8, 2001	International PCT				
	BB F	Pub. No. WO 01/59976 A3	Feb. 8, 2001	International PCT				
	BB G	Pub. No. WO 01/69905 A1	Mar. 15, 2001	International PCT				
	BB H	Pub. No. WO 01/86634 A1	April 24, 2001	International PCT				
	BBI	Pub. No. WO 02/49003 A1	Dec. 11, 2001	International PCT				
	BBJ	Pub. No. WO 02/084643 A1	Mar. 15, 2002	International PCT				
	BB K	Pub. No. WO 02/077975 A1	Mar. 19, 2002	International PCT				
	BB L	Pub. No. WO 02/080107 A1	Mar. 19, 2002	International PCT				
	BB M	Pub. No. WO 02/080140 A1	Mar. 29, 2002	International PCT				
	BB N	Pub. No. WO 02/077972 A1	Mar. 27, 2002	International PCT				
	BB O	DE 100 62 379 A1	Dec. 14, 2000	German				

•	•BB P	CA 2 343 664	April 11, 2001	Canada			
	BB Q	2002-202789	July 19, 2002	Japan			



#### OTHER ART

CA	
CB	
CC	
CD	
CE	
CF	
CG	
CH	
CI	
CJ	
CK	
CL	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.